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3	Common lizards break Dollo's law of irreversibility: genome-wide
4	phylogenomics support a single origin of viviparity and re-evolution of oviparity
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Key Words: Squamata; Lacertidae; Dollo's law; viviparity; biogeography; molecular
systematics.

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22 Abstract

23 Dollo's law of irreversibility states that once a complex trait has been lost in 24 evolution, it cannot be regained. It is thought that complex epistatic interactions and 25 developmental constraints impede the re-emergence of such a trait. Oviparous 26 reproduction (egg-laying) requires the formation of an eggshell and represents an 27 example of such a complex trait. In reptiles, viviparity (live-bearing) has evolved 28 repeatedly but it is highly disputed if oviparity has re-evolved. Here, using up to 29 194,358 SNP loci and 1,334,760 bp of sequence, we reconstruct the phylogeny of 30 viviparous and oviparous lineages of common lizards and infer the evolutionary 31 history of parity modes. Our phylogeny strongly supports six main common lizard 32 lineages that have been previously identified. We find very high statistical support for 33 a topological arrangement that suggests a reversal to oviparity from viviparity. Our 34 topology is consistent with highly differentiated chromosomal configurations between 35 lineages, but disagrees with previous phylogenetic studies in some nodes. While we 36 find high support for a reversal to oviparity, more genomic and developmental data 37 are needed to robustly test this and assess the mechanism by which a reversal might 38 have occurred.

39

40 1. Introduction

41 There are numerous examples for the loss of a complex trait in the animal 42 kingdom throughout evolution. Dollo's law of irreversibility states that once such a 43 complex trait has been lost, it cannot be regained (Dollo, 1893). Some exceptions to 44 this rule have been discovered, though it remains a very rare phenomenon in evolution 45 (Collin and Miglietta, 2008; Lynch and Wagner, 2010). Oviparity (egg-laying) is an 46 example for such a complex trait and has been lost on several independent occasions 47 throughout animal evolution (Lee and Shine, 1998; Murphy and Thompson, 2011). 48 While there are more than a hundred independent transitions from oviparity to 49 viviparity (live-bearing) in reptiles (Blackburn, 2006; Sites et al., 2011), only one 50 robust example for the re-evolution of the eggshell is known to date (Lynch and 51 Wagner 2010). Molecular mechanisms by which reversals in complex traits such as 52 reproductive mode occur are to date unknown.

53 The common lizard (Zootoca vivipara) is the most widespread extant 54 terrestrial reptile species. Its distribution covers nearly the whole of Europe, northern 55 and central Asia and as far as Japan in its easternmost range. Within this distribution, 56 common lizards have adapted to various extreme environments. Arguably the most salient of these adaptations is the evolution of viviparous, unique within the family of 57 58 'true' (lacertid) lizards that are otherwise oviparous. As one of the youngest 59 transitions from oviparity (egg-laying) to viviparity (live-bearing) known in 60 vertebrates (Pyron and Burbrink, 2014; Surget-Groba et al., 2006), common lizards 61 are an emerging model system for the study of viviparity (Freire et al., 2003; Le 62 Galliard et al., 2003; Murphy and Thompson, 2011). However, not all common 63 lizards are live-bearing: of the six currently recognized common lizard lineages, two 64 are oviparous and four are viviparous (Surget-Groba et al., 2006; Fig. 1). One 65 oviparous lineage is restricted to northern Spain and southwestern France, allopatric 66 to all other common lizard lineages. A second oviparous lineage occurs in the 67 southern part of the Alps. Four viviparous lineages cover the rest of the Eurasian 68 distribution (Mayer et al., 2000; Surget-Groba et al., 2006; Fig. 2). 69 The phylogenetic relationships within *Zootoca* have not been fully resolved. 70 The evolutionary history of the two different parity modes has been controversial

71 depending on which data was used to interpret the phylogenetic relationships. In a

72 first study using a single mitochondrial gene, both oviparous lineages were found to 73 be basal to all other viviparous lineages, consistent with a single origin of viviparity 74 (Surget-Groba et al., 2001; Fig. 1A). However, subsequent analyses on the karyotype 75 of common lizards resulted in a more complex evolutionary scenario, arguing for two 76 origins of viviparity based on sex-chromosome evolution $(Z_1Z_2W \text{ or } ZW)$ (Odierna et 77 al., 2004; Surget-Groba et al., 2006; Fig. 1B). More extensive geographic sampling 78 and sequencing of mitochondrial genes instead favored a scenario of a single origin of 79 viviparity followed by a reversal to oviparity in the Spanish Western Oviparous 80 lineage (Cornetti et al., 2014; Surget-Groba et al., 2006; Fig. 1C), though this 81 phylogeny was incompatible with a single origin of the Z_1Z_2W sex chromosome 82 system. Finally, a population inhabiting the Carpathian region in Romania was 83 discovered recently and was found to be most closely related to the phylogenetically 84 basal Eastern Oviparous lineage based on mtDNA (Velekei et al., 2015; Fig. 1D). The 85 reproductive mode of this lineage was not reported, but since all other common lizard 86 populations in its geographic proximity are viviparous (Surget-Groba et al., 2006),this 87 would suggest another independent origin of viviparity. However, all phylogenies to 88 date have had limited support at basal nodes essential for the interpreting the 89 evolutionary scenarios of parity mode evolution. Moreover, phylogenies reconstructed 90 only from mitochondrial DNA have limited information and frequently misrepresent 91 the 'true' phylogenetic relationships (Ballard and Whitlock, 2004; Near and Keck, 92 2013; Wallis et al., 2017). Therefore, it is essential to incorporate high resolution 93 nuclear DNA sequencing to resolve difficult topologies. Moreover, coalescent-based 94 approaches for disentangling incomplete lineage sorting effects and hybridization 95 have considerably advanced phylogenetic reconstruction (Bouckaert et al., 2014; 96 Pickrell and Pritchard, 2012; Posada, 2016).

97 The evolutionary implications for models involving several origins of 98 viviparity and/or a reversal to oviparity are significant. A reversal to oviparity from 99 viviparity is considered a very unlikely evolutionary scenario, presumably breaking 100 Dollo's law of irreversibility. Common lizard parity mode evolution could represent 101 one of the very few examples for an exception to this rule (Surget-Groba et al., 2006). 102 Further, the evolution of both oviparity and viviparity are difficult to study from a 103 molecular genetic perspective because they have most frequently occurred at deep 104 evolutionary time scales. Common lizards provide an example of recent parity mode 105 changes and therefore a critical insight to usually more ancient evolutionary events. 106 To tackle this outstanding phylogenetic question, we use genome-wide 107 phylogenomics with data from double-digest restriction-site associated DNA 108 sequencing (ddRADSeq), a next generation sequencing (NGS) technique, to identify 109 DNA polymorphisms across all common lizard lineages (Peterson et al., 2012; 110 Recknagel et al., 2015, 2013). Using broad geographic sampling of 70 individuals, we 111 reconstructed a nuclear phylogeny of 194,358 bp, and a mtDNA phylogeny based on 112 cytochrome b, using coalescent, Maximum Likelihood, and Maximum Parsimony 113 methods. We performed topological tests to assess likelihoods of alternative 114 evolutionary scenarios for parity mode evolution based on our phylogenomic dataset, 115 which consistently supported an evolutionary scenario. Our results strongly support a 116 single origin of viviparity in common lizards and a subsequent reversal to oviparity in 117 one derived lineage as the most parsimonious scenario of reproductive mode

118 evolution.

119 2. Material and Methods

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121 2.1 Sampling

Samples and specimens were obtained from the Natural History Museum in 122 123 Vienna, the Royal Ontario Museum, and fieldwork during 2013-2016 (see Table S1 124 for specimens and Fig. 2 for a map of collecting localities). Lizards were collected by 125 diurnal opportunistic searches. Tail clips (up to 2 cm) were extracted and preserved in 126 95-99% ethanol and lizards were released thereafter. Mode of reproduction was 127 assessed by observation of an individual retained in captivity until 128 oviposition/parturition or from data on other individuals at the same site. 129 130 2.2 Generation of molecular data 131 DNA was extracted from tissue using a Dneasy Blood and Tissue Kit (Qiagen)

132 following the manufacturer's protocol. Three genomic libraries were constructed 133 using double-digest restriction-site associated DNA sequencing (ddRADSeq). The 134 first two libraries were run on an IonProton sequencing machine with a median of 96 135 bp read length (ddRADSeq-ion; Recknagel et al., 2015) and the third library was 136 paired-end sequenced on an Illumina HiSeq 4000 with 150 bp read length. Briefly, 1 137 ug of starting DNA material was digested using restriction enzymes PstI-HF and MspI 138 and subsequently cleaned with the Enzyme Reaction Cleanup kit (Qiagen). Following 139 purification, the amount of DNA in each individual was normalized to the sample 140 with the lowest concentration within a library (237 ng in first, 400 ng in second, and 141 275 ng in third library) to minimize coverage variation. Platform specific barcoded 142 (for IonProton: A-adapter, for Illumina: P1 adapter; binding to PstI-HF overhang) and 143 global (for IonProton: P1-adapter, for Illumina: P2 adapter; binding to MspI 144 overhang) adapters were ligated to the sticky ends generated by restriction enzymes. 145 The ligated DNA fragments were then multiplexed and size-selected using a Pippin Prep (Sage Science) for a range between 175 - 225 bp for the IonProton platform and 146 147 150 – 210 bp for Illumina. To assure that the same set of loci are selected between 148 platforms, size selection ranges were adjusted because adapter lengths are not the 149 same between platforms. Seven separate PCR reactions (for details see Recknagel et 150 al., 2015) were performed per genomic library and combined (Peterson et al., 2012).

151 Following PCR purification, libraries were electrophoresed on a 1.25% agarose gel to 152 remove any remaining adapter dimers and fragments outside the size range selected 153 by the Pippin Prep. SYBRSafe (Life Technologies) was used for gel staining and 154 bands in the size selected range were cut out manually and DNA was extracted from 155 the matrix using a MinElute Gel Extraction Kit (Qiagen). Following the gel 156 extraction, DNA was quantified using a Qubit Fluorometer with the dsDNA BR 157 Assay. Quality and quantity of genomic libraries was assessed using a TapeStation or 158 Bioanalyzer (Agilent Technologies). The first two libraries were sequenced at 159 Glasgow Polyomics using an Ion PI Sequencing 200 Kit v3 on an Ion Proton PI chip 160 at a target read size of 100 bp. The third library was sequenced at Edinburgh 161 Genomics on an Illumina HiSeq 4000 machine with paired-end sequencing of 150 bp 162 reads. 163 In addition to ddRADseq, mitochondrial DNA (mtDNA) from cytochrome b 164 with primers MVZ04H and MVZ05L (~430 bp) was amplified (Smith and Patton, 165 1991) and PCR products were sequenced with the forward primer (MVZ04H) on an

166ABI 3130x at Dundee University. Sequences were quality checked by eye, and

trimmed and aligned using Geneious v. 7.1.9 (Kearse et al., 2012). Data are deposited

168 in NCBI (Genbank accession with manuscript acceptance).

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170 2.3 Bioinformatic analysis

171 All NGS generated reads were analyzed using the RADseq software tool 172 STACKS v.1.41 (Catchen et al., 2011). Reads were trimmed to a common length of 173 70 bp to maximize the number and length of retained reads (Recknagel et al., 2015). 174 Libraries were de-multiplexed and all reads were sorted into stacks of loci within each 175 individual (maximum distance of 2 bp within a locus). The minimum coverage 176 threshold per individual locus was set to five. Each individual was then aligned to a 177 Zootoca vivipara reference genome v. 0.9 (Yurchenko et al. in prep) using bwa (Li 178 and Durbin, 2010) and samtools (Li et al., 2009). A catalogue of all loci identified 179 across individuals was subsequently created using the genome referenced stacks from 180 each individual.

181 Missing data can have a substantial impact on phylogenetic inference from
182 NGS generated data and can vary between taxonomic and phylogenetic levels (Eaton

183 et al., 2017; Jiang et al., 2014; Rowe et al., 2011; Streicher et al., 2016). Therefore, it 184 is crucial to first evaluate the impact of missing data before phylogenetic analysis. We 185 filtered our data with two main options: i) using a variable minimum number of 186 individuals that a locus had to be present in, and ii) varying the number of SNPs per 187 locus from one to three. The amount of missing data was increased from 0% to 90% 188 at 10% intervals. For each of these categories, loci containing only a single SNP, two 189 SNPs, three SNPs and one to three SNPs were extracted from the whole dataset. 190 These datasets were extracted to test the impact of missing data and number of SNPs 191 on phylogenetic resolution and to assess optimal settings for data extraction.

192

193 2.4 Phylogenetic analysis

194 Suitability of data sets that differed in degree of missing data and number and 195 type of SNP loci was assessed by comparing the sum of bootstrap supports (at deep, at 196 shallow, and at all nodes combined) (Huang and Lacey Knowles, 2016). The best 197 performing dataset for inferring the evolutionary history of parity mode in common 198 lizards was identified and chosen for more exhaustive phylogenetic and comparative 199 analyses. This best performing dataset was assessed by constructing Maximum-200 likelihood (ML) phylogenies using the software RAxML vers. 8.1.20 with a 201 GTRGAMMA substitution model of evolution (Stamatakis, 2006). Conditions 202 producing the highest bootstrap sum phylogeny were the ones chosen for all 203 subsequent analyses.

204 We inferred Maximum-likelihood (ML) phylogenies using RAxML. An initial 205 phylogenetic analysis including the outgroup species Iberolacerta horvathi identified 206 the Eastern Oviparous clade as basal to all five other Zootoca lineages with high 207 confidence (bootstrap support 100), as has been shown by previous analyses (Cornetti 208 et al., 2014; Mayer et al., 2000; Surget-Groba et al., 2006). We further used 209 ADMIXTURE (vers. 1.3.0; Alexander et al., 2009) to test for monophyly of the main 210 Zootoca lineages. ADMIXTURE assesses the genomic ancestry of individuals 211 according to a given set of genetic clusters. A variable number of genetic clusters k212 was run, from 1 to 6 k and best fit inferred from ten-fold cross-validation. The genetic 213 cluster with the lowest cross-validation error was chosen as optimal k. These analyses 214 confirmed monophyly of the six main lineages and limited levels of admixture.

Pairwise genetic differentiation between lineages was assessed using the R packagediveRsity (Keenan et al., 2013).

217 A Maximum likelihood bootstrap search with 100 replicates using a 218 GTRGAMMA model was performed in RAxML. Support values were drawn on the 219 best scoring ML tree. The best ML tree was compared to four alternative pre-defined 220 topologies, which had been proposed in previous studies. These topologies included i) 221 both oviparous lineages basal to all viviparous lineages (Mayer et al., 2000; Surget-222 Groba et al., 2001; Fig. 1A) ii) Eastern oviparous lineage basal + Central viviparous II 223 basal to all remaining viviparous and oviparous (Odierna et al., 2004; Surget-Groba et 224 al., 2006; Fig 1C), iii) Eastern oviparous lineage basal + Central viviparous I basal to 225 all remaining viviparous and oviparous lineages, and iv) Romanian lineage sister to 226 Eastern oviparous and basal to all other lineages (Velekei et al., 2015). We computed 227 per site log likelihoods for each of the five trees and used these to perform 228 Approximately Unbiased tests (AU tests) (Shimodaira, 2002), Shimodaira-Hasegawa 229 tests (SH tests) (Shimodaira and Hasegawa, 1999), Kishino-Hasegawa tests (KH 230 tests), and Bayesian posterior probabilities (PPs) calculated by the BIC approximation 231 as all implemented in CONSEL vs. 0.1a (Shimodaira and Hasegawa, 2001). 232 We performed a coalescent-based Bayesian approach to infer the topology in

233 BEAST2 (Bouckaert et al., 2014). For this approach, we included a full alignment of 234 all RAD loci (19,068 RAD loci; 1,334,760 total bp; 84,017 variant sites). The number 235 of total SNPs differs from other analyses as loci were set to be present in at least 40% 236 of individuals of each of the six lineages, instead of just being present in at least 40% 237 of individuals across the whole phylogeny. We used the GTRGAMMA substitution 238 model. The analysis was run on CIPRES (Miller et al., 2010) for 500 million 239 generations sampling trees every 50,000 and discarded 10% as burn-in. Convergence 240 was assessed in TRACER (Rambaut and Drummond, 2009) and accepted if ESS 241 values of all parameters were larger than 100.

Additional phylogenetic analyses were carried out under the Maximum Parsimony (MP) optimality criteria. We performed a heuristic bootstrap search with 2000 replicates carried out in PAUP* (Swofford, 2002) using TBR branch swapping and with ten random addition sequence replicates for each bootstrap replicate. The 50% consensus bootstrap tree was compared to phylogenies generated with ML andBayesian analyses.

To incorporate potential past migration events and incomplete lineage sorting effects, we performed a TREEMIX v.1.3 (Pickrell and Pritchard, 2012) search using only independent SNPs (one SNP per locus; 49,107 loci included) and a window size of 1000 bp. We included zero to six migration events and compared the variance explained between resulting tree with and without migration events to evaluate the impact of migration. We calculated f3-statistics to assess whether admixture has played a role in the evolution of common lizard lineages.

For the mitochondrial dataset, we performed a bootstrap ML search using

256 RAxML (100 bootstrap replicates), MP using the same parameters mentioned above

and Bayesian reconstruction with BEAST2 to generate the phylogeny. The best

substitution model for BEAST2 was inferred from eleven different substitution

schemes in JMODELTEST2 (Darriba et al., 2012) based on lowest AICc and run on

260 CIPRES. We ran BEAST2 for 20 million generations and discarded 10% as burn-in.

261 Convergence was inferred if ESS values in TRACER were larger than 100.

262	3. Results
262	3. Results

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64 *3.1 Data evaluation and identification of optimal parameters for phylogenomic*

265 dataset

Total number of generated reads was 828,000,972 (1st library: 10,000,000 reads, 2nd library: 42,377,658 reads, 3rd library: 775,623,314 paired-end reads). After sorting reads into individual loci, mean coverage per individual was 27.6x with a standard deviation of 11.0x (range: 9.2x – 66.9x; median: 24.1x).

270 We found that phylogenetic resolution generally improved by accepting larger 271 amounts of individuals with missing data (Fig. S1). The best summed bootstrap 272 support was achieved using loci that were present in at least 40% of all individuals. 273 Accepting more missing data this did not improve phylogenetic resolution. The 274 highest number of SNPs (including up to three SNPs) resulted in the overall highest 275 phylogenetic resolution (Fig. S1). Therefore, we chose the dataset with loci present in 276 at least 40% of all individuals and including all SNPs (no restriction on number of 277 SNPs per locus) for all subsequent analyses. Genotyping error was low (2.0-2.9% per 278 SNP) based on three technical replicates and comparable to previous studies 279 (Mastretta-Yanes et al., 2015; Recknagel et al., 2015).

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281 3.2 Mitochondrial DNA phylogeny

282 The final alignment of the cytochrome b gene consisted of 428 bp (42 283 parsimony informative sites). HKY+I was identified as the best substitution model for 284 BEAST2 (Table S2). This phylogeny resolved eastern oviparous, central viviparous, 285 and western oviparous each as monophyletic (Fig. S2). However eastern viviparous, 286 central viviparous, and western viviparous lineages were all polyphyletic, suggesting 287 considerable introgression and a poor association of single gene mtDNA with the 288 phylogeny generated from genome-wide data. Support values were generally 289 considerably lower for both basal and terminal nodes compared to the phylogeny 290 generated from the extensive genomic dataset. The topology also differed 291 considerably from the topology generated from phylogenomic data (Fig. 3; Fig. S2). 292

3.3 Monophyletic clades in Zootoca vivipara and reconstruction of evolutionary history

295 All phylogenomic reconstructions confirmed six monophyletic evolutionary 296 divergent lineages with high confidence (all MP and ML bootstrap supports of 100 297 and PP of 1.0; Fig. 3). The eastern oviparous lineage is basal sister to all other 298 lineages, followed by central viviparous II. The remaining four lineages are split into 299 two groups, one with the western oviparous and central viviparous I lineages as sister 300 and one with the eastern and western viviparous lineages. This topology is concordant 301 with a single origin of viviparity and a reversal to oviparity in the western oviparous 302 lineage (see 3.2 for topological analyses). Population structure also confirmed these 303 six genetic lineages, with high average membership values for each respective lineage 304 (mean Q-values ranged from 92-100% identity within each lineages) (Fig. 3). These 305 six lineages correspond to phylogeographic clades that were previously identified. 306 The recently reported distinct Carpathian haploclade (Velekei et al., 2015) was not 307 confirmed as a separate genetic cluster in our phylogenomic reconstruction and was 308 nested within the Eastern viviparous lineage (individuals ELT07086-ELT07095). Our 309 mitochondrial dataset confirmed monophyly of some of the lineages with good 310 support (eastern oviparous, central viviparous, western oviparous), while others where 311 not supported (Fig. S2). In contrast to the nuclear data, the separate Carpathian clade 312 was strongly confirmed by mitochondrial DNA and monophyletic, sister to the eastern 313 oviparous lineage (Fig. S2).

Genetic differentiation between all six lineages was substantial (Table S3). *Fst* and *Jost D's* values were largest between eastern oviparous and all other lineages (*Fst*: 0.42 - 0.52; *Jost D*: 0.013 - 0.018), and second largest between western oviparous and all other lineages (*Fst*: 0.35 - 0.51; *Jost D*: 0.007 - 0.016), indicating that these are the most highly differentiated lineages. Compared to *Fst*, *Jost D* was weaker between the western oviparous and all other viviparous lineages (Table S3).

- 320 Genetic differentiation between the viviparous lineages was less pronounced (*Fst*:
- 321 0.23 0.32; *Jost D*: 0.004 0.008).
- 322

323 3.4 Evolutionary scenarios for parity evolution

324 We found significant support for topologies associated with a single origin of 325 viviparity and a reversal to oviparity. Bayesian, Maximum likelihood and parsimony 326 analyses all confirmed the same topological configuration for the six main common 327 lizard lineages with high nodal supports (bootstraps > 100, all posterior probabilities = 328 1.0) (Fig. 3). Phylogenies from all reconstruction methods support a topology in 329 which the eastern oviparous lineage is basal to all other lineages. The following 330 lineage splitting off is the central viviparous II lineage, sister to all remaining 331 lineages. The western oviparous lineage is nested within the viviparous lineages, 332 sister to the central viviparous I lineage. This topology suggests a single origin of 333 viviparity in common lizards and a reversal to oviparity in the western oviparous 334 lineage as the most parsimonious scenario for parity mode evolution.

335 Using monophyly constraints and statistical topology testing, any topologies 336 compatible with alternative scenarios of parity mode evolution. Alternative scenarios 337 included: oviparity as a basal trait and a single origin of viviparity (Figure 1A; Table 338 1), multiple independent origins of viviparity (Figure 1B; Table 1), a reversal to 339 oviparity but independent sex chromosome evolution (Figure 1C; Table 1), and 340 multiple origins of viviparity and a reversal to oviparity (Figure 1D; Table 1) and 341 were all significantly less likely (Table 1) than a single origin of evolution, a reversal 342 to oviparity and a single change in sex chromosome configuration, consistent with 343 Figure 3.

344 Reconstructing evolutionary relationships between the six main phylogenetic 345 lineages in TREEMIX results in a similar topology as retrieved from the other 346 analyses, with eastern oviparous consistently sister to all other lineages. Overall 347 likelihood and variance explained increased including more migration events, and 348 reached a plateau after two migration events (Fig. S3). Topologies were unstable 349 when more migration events were included, though these topological changes should 350 be considered with caution since all f3-statistics were positive, indicating that admixture has not played a major role in the evolution of common lizard lineages 351 352 (Table S4).

353

354 4. Discussion

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356 4.1 Evolutionary history of parity mode evolution

357 Here, we show that the most parsimonious scenario for the evolution of parity 358 mode evolution in common lizards includes a single origin of viviparity and a reversal 359 to oviparity in a single lineage (western oviparous). Our genome-level phylogeny 360 based on up to 194,358 nucleotides was highly supported by Bayesian ML, and MP 361 analyses (support values >0.95). Topologies compatible with other parity mode 362 scenarios, such as a no reversal to oviparity or multiple origins of viviparity (per Fig 363 1A, B, D) performed significantly worse in all statistical tests (Table 1). We find 364 considerable differences between our high resolution phylogenomic tree and our 365 mtDNA phylogeny.

366 The evolution of oviparity and viviparity in common lizards has been 367 contentious and a range of studies, using different geographic and genetic sampling, 368 have failed to converge on an evolutionary scenario. To date, mitochondrial DNA, 369 nuclear DNA, and karyotypic markers have not agreed on a single topology (Fig. 1; 370 Odierna et al., 2004; Surget-Groba et al., 2006, 2001; Velekei et al., 2015). For 371 example, previous research suggested that a reversal to oviparity occurred in common 372 lizards, however support was based on only limited data and support (Cornetti et al., 373 2014; Surget-Groba et al., 2006). It has also been proposed that viviparity evolved 374 multiple times independently (Odierna et al., 2004; Velekei et al., 2015), however, 375 these studies were limited to the use of a single marker. Our phylogeny is the first that 376 is consistent with nuclear genetic markers and chromosomal configuration (Fig. 1; 377 Fig. 3).

378 In addition to our robust and well supported phylogeny and the topological 379 statistics, other aspects of common lizard genetics and reproductive traits also support 380 our inference of a reversal to oviparity. The eastern oviparous and western oviparous lineages have different morphological and physiological egg characteristics, such as 381 382 thinner eggshells and shorter incubation time (Arrayago et al., 1996; Lindtke et al., 383 2010). We suggest this is compatible with our phylogeny; the derived oviparous 384 lineage is due to a reversal to oviparity instead of retaining the ancestral oviparous 385 condition, and in doing so the thickness of the eggshell is reduced. Our phylogeny is

consistent with the most parsimonious scenario for the derived chromosomal features 386 387 in common lizards: While both the eastern oviparous and central viviparous II 388 lineages have 36 chromosomes and a ZW sex chromosome configuration, all other 389 lineages exhibit 35 chromosomes and a Z_1Z_2W sex chromosome configuration 390 (Kupriyanova et al., 2008; Odierna et al., 2004; Fig. 1). Previous genetic studies were 391 inconsistent with this derived sex chromosome configuration by placing central viviparous II nested within lineages exhibiting the Z_1Z_2W chromosome configuration 392 393 instead of being basal to lineages with the derived configuration (Cornetti et al., 2014; 394 Surget-Groba et al., 2001, 2006). The phylogeny presented here is the first molecular 395 phylogeny consistent with a single transition in sex chromosome configuration, 396 changing from the ancestral ZW system to the derived Z_1Z_2W system (Kupriyanova et 397 al., 2006; Odierna et al., 2004).

398 Calcified eggshell and the associated reproductive life history traits of 399 oviparity represent a complex character that once lost is unlikely to re-evolve, making 400 it a trait long regarded to be subjected to Dollo's law of irreversibility (Lee and Shine, 401 1998; Shine and Lee, 1999; Sites et al., 2011). However, research on the re-evolution 402 of insect wings (Collin and Miglietta, 2008; Whiting et al., 2003), snail coiling (Collin 403 and Cipriani, 2003), or mandibular teeth in frogs (Wiens, 2011) has shown that in 404 some cases complex characters can indeed re-evolve. In squamate reptiles, one 405 example exists arguing for the re-evolution of oviparity in sand boas (Lynch and 406 Wagner, 2010). In this example, a scenario with no reversal to oviparity required three 407 additional evolutionary transitions compared to the most parsimonious scenario with a 408 single reversal to oviparity. In addition to the support from parsimonious trait 409 reconstruction from the phylogeny, sand boas lack the egg tooth, which is an 410 important anatomical structure for hatching from eggs that is present in related 411 oviparous snake species. This provides independent evidence for the derived state in 412 sand boas and the re-evolution of oviparity (Lynch and Wagner, 2010). In general, in 413 addition to support from phylogenetic reconstruction, it should be best practice to 414 assess whether the trait re-evolved is developmentally and anatomically similar to the 415 ancestral trait. Substantially different features of the trait in the derived compared to 416 ancestral form can be considered additional evidence for re-evolution, rather than the 417 less plausible scenario that the ancestral form was retained but changed over time

418 while an alternative trait was independently lost in multiple related lineages. In 419 common lizards, the short timespan between the origin of viviparity and the re-420 evolution of oviparity might have facilitated the reversal, in that not many genomic 421 changes were required. In general, a trait as complex as viviparity is thought to 422 require several changes in the genome (Murphy and Thompson, 2011). 423 Whether reversals to oviparity from viviparity occurred frequently in 424 squamate reptiles remains a highly controversial topic. Erroneous phylogenetic 425 reconstruction and limited assessment of characteristics of the trait in question have 426 led to the publication of controversial examples of re-evolution (e.g. Fairbairn et al., 427 1998; Pyron and Burbrink, 2014) that have been criticized heavily (Blackburn, 1999, 428 2015; Griffith et al., 2015; King and Lee, 2015; Shine and Lee, 1999; Wright et al., 429 2015). Moreover, incomplete lineage sorting and/or introgression of the trait in 430 question, combined with the limited molecular information included in most 431 phylogenetic reconstructions, can lead to wrong conclusions in trait evolution (Hahn 432 and Nakhleh, 2016). While here we found substantial support for the re-evolution of 433 oviparity based on the largest genomic dataset to date, more knowledge on the 434 development and genetics of the trait is necessary to unequivocally assess whether a 435 reversal to oviparity occurred in common lizards. In the future, more refined 436 phylogenetic reconstructions using whole genome and phylogenomic data combined 437 with insights into the genetic mechanisms involved in parity mode evolution should 438 provide answers on whether reversals to oviparity occur in squamates and how 439 common they are.

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441 *4.2 Evolutionary relationships between common lizard lineages and comments on*

442 *taxonomic status*

Our genome-wide phylogeny recovered a new topology, but this included
similar clades as previously supported by mitochondrial DNA reconstructions, except
for the Carpathian clade, which we find is nested within the Eastern viviparous
lineage (Fig. 1; Fig. 3; Fig. S3). Incongruence between nuclear data and mitochondrial
data is observed frequently (Ballard and Whitlock, 2004; Near and Keck, 2013;
Wallis et al., 2017). Consistent with previous phylogenetic analyses (Cornetti et al.,

449 2014; Surget-Groba et al., 2006, 2001), we found the eastern oviparous lineage is

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450 basal to all other common lizard lineages. Splitting order for the other lineages differs 451 from previous phylogenetic reconstructions, however, the reciprocal monophyly of all 452 remaining five lineages was highly supported by all analyses here. In agreement with 453 this, f3-statistics suggest that there was no significant admixture between lineages 454 (Table S3). Past mitochondrial DNA introgression and capture are a possible 455 mechanism explaining the discordance between mitochondrial and nuclear genes 456 (Leavitt et al., 2017; Willis et al., 2014).

457 Based on the strong reciprocal monophyly of the lineages, we suggest that 458 Zootoca vivipara should be divided into five or six subspecies. Some have argued that 459 Z v. carniolica should be recognized as a separate species based on limited gene flow 460 and reproductive isolation (Cornetti et al., 2015a, 2015b). However, while 461 hybridization is rare and might be geographically restricted, it does occur between Z 462 v. carniolica and other viviparous common lizards (Lindtke et al., 2010; pers. obs.) 463 and phenotypic differences are generally small (Guillaume et al., 2006; Rodriguez-464 Prieto et al., 2017). Given the old evolutionary split (Surget-Groba et al., 2006) and its 465 distinctive reproductive biology species status might be warranted. All other main 466 lineages (CVII, CVI, EV, WV, WO) could each be rendered a subspecific status given 467 their clear evolutionary splits and differences in karyotype (Guillaume et al., 2006; 468 Kupriyanova et al., 2006; Odierna et al., 2004, 1998; Surget-Groba et al., 2006). 469 Currently, only Z. v. louislantzi (WO) can be recognized as a valid subspecies, while 470 other lineages have conflicting subspecific designations (Arribas, 2009; Schmidtler 471 and Böhme, 2011). While diagnostic morphological features are scarce (Guillaume et 472 al., 2006), in-depth analyses using more levels of the phenotype (e.g. differences in 473 colouration, behavior, reproduction and ecology) should resolve whether the 474 distinguished genetic lineages are supported by phenotypic data. A taxonomic 475 revision for these lineages combined with morphological and ecological data across 476 the whole distribution of the group is much-needed. 477

478 4.3 Advantages and challenges of RADSeq data for phylogenetic reconstruction

479 Our phylogenetic reconstruction represents the most comprehensive and 480 robust phylogeny of common lizards to date, based on 194,358 bp of polymorphic 481 SNPs and 67 individuals. Previous phylogenetic studies on common lizards using

only mitochondrial data (Surget-Groba et al., 2006) or fewer nuclear markers 482 483 (Cornetti et al., 2014) had only moderate congruency between different markers and 484 weak support at basal nodes. In agreement with the challenges from previous studies, 485 our mtDNA phylogeny of an established, informative locus was not compatible with 486 the phylogenomic dataset, highlighting the limitations of mtDNA (Ballard and 487 Whitlock, 2004; Wallis et al., 2017; Willis et al., 2014) and suggesting it is not an 488 appropriate marker for resolving the history of common lizards. More generally, we 489 suggest that for groups with short internal branches and evolutionary histories of 490 recent to several million years divergence, the type of data produced by RADSeq 491 might be optimal to resolve difficult evolutionary splits. This is the case for adaptive 492 radiations or more generally for short and quick speciation events and complex 493 phylogeographic histories(Giarla and Esselstyn, 2015; Rodríguez et al., 2017). This 494 study evidences the power of fast evolving loci (loci with several SNPs) to resolve 495 short phylogenetic branches.

496 A challenge of short-read phylogenomics and loci with multiple SNPs is the 497 validity of orthology between loci. We show that topological groupings are more 498 robustly supported when using loci with multiple SNPs (Fig S1) and we present an 499 assessment pipeline for validating the cut-offs for missing data and SNPs per locus. 500 Without a reference genome and a large amount of duplicated and/or repetitive DNA, 501 orthology of RAD loci is usually not evaluated. Using a reference genome to map the 502 RAD loci and high sequencing coverage per individual, such as done here, are 503 important methodological considerations to overcome these issues (Mastretta-Yanes 504 et al., 2015; Shafer et al., 2017). Disadvantages of these large but informative datasets 505 are long computational time for some analyses, in particular phylogenetic 506 reconstructions using Bayesian coalescence based analyses (Bryant et al., 2012). 507 Advances in phylogenomic methodologies to accommodate these more complex 508 datasets will be important for advancing the field (Delsuc et al., 2005; Fuentes-Pardo and Ruzzante, 2017; Leavitt et al., 2016). 509

510

511 *4.4 Conclusions*

512 Our results strongly support a single origin of viviparity in common lizards 513 and a subsequent reversal to oviparity in one derived lineage as the most

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- 514 parsimonious scenario of reproductive mode evolution (Fig 3, Table 1). In the light of
- 515 karyological and reproductive data (Arrayago et al., 1996; Heulin et al., 2002; Lindtke
- 516 et al., 2010; Odierna et al., 2004, 1998), these findings are strong evidence that a
- 517 reversal to oviparity has occurred what is now the allopatric western oviparous
- 518 lineage (Fig. 2, Fig. 3). In addition, we propose that a taxonomic revision of this
- 519 genus at the subspecific level may be needed. More generally, this suggests that
- 520 Dollo's law of irreversibility is not without exceptions, and might be particularly
- 521 prone to switches between characters at early stages of evolution of a new or lost trait.
- 522 For the future, we suggest that common lizards represent an ideal candidate to
- 523 investigate the genomic basis for evolutionary complex reversals.
- 524

525 Acknowledgments

- 526 This work would not have been possible without the support and contribution of
- 527 samples by Werner Mayer, to whom we are very grateful. We thank B. Murphy and
- 528 A. Lathrop at the Royal Ontario Museum for providing tissue samples. We
- 529 particularly thank Austrian and Scottish authorities for issuing collection permits
- 530 (HE3-NS-959/2013; SNH license number 64972). We thank Megan Layton, Henrique
- 531 Leitão, Mark Sutherland, Ruth Carey, Michael Andrews, Jade McClelland, and
- 532 Nathalie Feiner for assistance and companionship in the field during the collection of
- 533 crucial samples. We thank Aileen Adams, Arne Jacobs, Julie Galbraith, Jing Wang,
- 534 Lorraine Glennie, and Peter Jeffrey Koene for their help in the lab and A. Yurchenko
- 535 for access to the reference genome and valuable discussions. For funding we
- 536 gratefully acknowledge a Heredity Fieldwork grant by the Genetic Society to HR, a
- 537 University of Glasgow Lord Kelvin-Adam Smith PhD Studentship to KRE and NK
- 538 for HR, and NERC grant NE/N003942/1 to KRE.
- 539

540 Author Contributions

- 541 KRE, NK and HR conceived the study. HR and KRE collected samples and designed
- 542 the experiments. HR generated data, performed all analyses and drafted the
- 543 manuscript. KRE, NK and HR all contributed to the writing of the final version of
- 544 manuscript.

545 **Conflicts of Interest**

546 The authors declare no conflict of interest.

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802	Table 1. Statistics of alternative topological constraints. Five alternative topological constraints were set and compared to the best
803	performing maximum likelihood tree. Topological constraints were set to represent different evolutionary hypotheses of parity mode
804	evolution (assuming the most parsimonious path of evolution, i.e. the lowest number of possible transitions). Constraint models are
805	ranked by observations, starting with the model without constraint. Constraint models are the following: i) 'no constraint' is consistent
806	with a reversal to oviparity and refers to the topology in Figure 3, ii) 'viviparous CVII basal' is the same topology as i), only specifying
807	the constraint that the central viviparous II lineage is sister to all remaining lineages excluding the eastern oviparous lineage, which is
808	basal to central viviparous II; it is consistent with a reversal to oviparity and Figure 3, iii) 'multiple viviparity' constrains central
809	viviparous II as sister to eastern oviparous, and western oviparous sister to all other viviparous lineages, consistent with two independent
810	origins of viviparity and Figure 1B, iv) 'oviparity basal' constrains eastern and western oviparous lineages to be basal to all other
811	viviparous lineages and is consistent with a single origin of viviparity and Figure 1A, v) 'viviparous CVII not basal' constraints the
812	eastern oviparous lineage to be basal to all other lineages, but the central viviparous II not as basal to the remaining lineages; it is
813	consistent with a reversal to oviparity but not with sex chromosome evolution and corresponds to Figure 1C, and vi) 'viviparous RO
814	basal' constrains the Carpathian lineage to be sister to the eastern oviparous lineage, consistent with multiple independent origins of
815	viviparity and potentially a reversal to oviparity and corresponds to Figure 1D.

constraint	rank	obs	AU	NP	BP	PP	KH	SH	wtd-KH	wtd-SH
no constraint	1	0	0.518	0.493	0.502	0.500	0.496	0.918	0.496	0.918
viviparous CVII basal	2	0	0.535	0.501	0.494	0.500	0.504	0.891	0.504	0.891
multiple viviparity	3	404.6	0.000	0.000	0.000	0.000	0.000	0.021	0.000	0.000
oviparity basal	4	452.7	0.005	0.004	0.004	0.000	0.004	0.004	0.004	0.011
viviparous CVII not basal	5	1206.9	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000
viviparous ROM basal	6	2478	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000

- 816 Abbreviations are: obs = observations, AU = Approximately unbiased test, NP = non-scaled bootstrap probability, BP = bootstrap
- 817 probability, PP = Bayesian posterior probability, KH = Kishino-Hasegawa test, SH = Shimodaira-Hasegawa test, wtd = weighted, CVII =
- 818 central viviparous II, CVI = central viviparous I, RO = Carpathian viviparous clade.







822 Figure 1. Alternative hypotheses for phylogenetic relationships of common lizards 823 and parity mode evolution. Parity mode and sex chromosome configuration (ZW or Z_1Z_2W ; Odierna et al., 2004) are illustrated next to each respective lineage. 824 825 Phylogenetic tree A) involves a single origin of viviparity and was supported by one 826 mtDNA gene. The second tree B) is based on karyological studies and suggests two 827 independent origins of viviparity. Hypothesis C) suggests a reversal to oviparity as 828 most parsimonious scenario, based on mtDNA and a few nuclear genes. The last phylogeny D) includes a recently discovered viviparous lineage in the Carpathians, 829 830 which was found to be closely related to the most basal oviparous lineage. Parity 831 mode evolution in this scenario involves two independent origins of viviparity and a 832 reversal to oviparity. 833

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837 Figure 2. Map of common lizard (*Zootoca vivipara*) sampling locations within

Europe. The dark grey shaded area marks the distribution of the common lizard in

839 Europe. Each dot represents a single individual (red = oviparous; blue = viviparous)

840 captured at the respective location. Note that a single individual from central Russia

841 included in the phylogenetic analyses is outside the scope of the map (see Table S1).

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Figure 3. Bayesian (B), Maximum likelihood (ML) and maximum parsimony (MP)
reconstruction of common lizard evolutionary relationships based on ddRADSeq data.
A) The Bayesian tree was used with a full alignment using 1,334,760 sites (84,017
SNPs) and ML and MP trees were constructed with 194,358 SNPs. B posterior
probabilities (BS), ML and MP bootstrap support are indicated by dark grey and light
grey dots in that order (see legend). B) An ADMIXTURE analysis included the
194,358 SNPs and a k of 6 genetic clusters. Individuals are aligned vertically and

845

853 respective membership values for each genetic cluster are illustrated. Parity mode and

- 854 lineage are indicated on the right. *Iberolacerta horvathi* was used as an outgroup (true
- 855 branch length not shown for graphical reasons).